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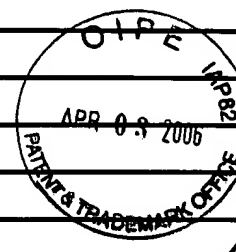
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/929,859
Filing Date	August 13, 2001
First Named Inventor	Marian Exall
Art Unit	3629
Examiner Name	Ouellette, Jonathan P.
Attorney Docket Number	E006.P001U1



Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A10	Heckman & Roetter, "Designing Government Agents for Constitutional Compliance," Proceedings of the Third Annual Conference on Autonomous Agenets, pp. 299-305, May 1-5, 1999.	
	A11	Johnson & Mead, "Legislative Knowledge Base Systems for Public Administration: Som Practical Issues," Proc. of the 3d Internat'l Conf. on AI & Law, pp. 108-117, 6/25-28/1991.	
	A12	King & Stanley, "Ensuring Court Admissibility of Computer-Generated Records," ACM Transactions on Information Systems, Vol. 3, Issue 4, pp. 398-412, Oct., 1985.	
	A13	Matthijssen, "An Intelligent Interface for Legal Databases," Proceedings of the Fifth Internat'l Conf. on AI & Law, pp. 71-80, May 21-24, 1995.	
	A14	Morris, et al. "Handling of Significant Deviations from Boilerplate Text," Proceedings of the First Internat'l Conf. on AI & Law, pp. 145-154, May 27-29, 1987.	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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- (X) Information Disclosure Statement (2 pages)
- (X) Form PTO-1449
- (X) 14 References
- (X) Certificate of Mailing dated: November 15, 2001

In RE Application of: Exall et al.

**TITLE: "Systems and Methods for Employment Law Compliance, Establishment,
Evaluation and Review"**

SERIAL NO.: 09/929,859

REF. NO.: 05126.0001U2

**FILED: August 13, 2001
DSK/kbb**

